FREEDOM OF INFORMATION ACT ANNUAL REPORT

FOIA Data Submission Form for Treasury Bureaus
Fiscal Year 2001
(Oct. 1, 2000-Sept. 30, 2001)

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Note: Bureau reporting begins at item II-B. Those sections marked "Not for bureaus" will
be answered by the Departmental Disclosure Office in the combined report.
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I. and II-A. (Not for bureaus.)

II.B. Brief Description of your bureau's response-time ranges.

> **Response time in FY 2001 ranged from 1 day for simple requests to six
> months for requests seeking consumer complaint data about national banks
> that must be coordinated through our Customer Assistance Group in
> Houston, Texas.**

C. Brief description why some requests are not granted.

> **The most common reasons why requests for records could not be granted are
> 1) they are bank examintion-related, 2) they involve proprietary business-
> related information or 3) they involve personal information.**

III. Definition of terms and acronyms used in this report.
   A. List your agency-specific acronyms or terms.
                                      None
   B. Basic Terms (This has already been done by Department of Justice. See
       http://www.usdoj.gov/oip/foia_updates/Vol_XVIII_3/page2.htm)

IV. Exemption 3 Statutes.
   A. List of Exemption 3 statutes relied on by agency during fiscal year.
      1. Brief description of type(s) of information withheld under each statute.

                                      None
      2. Statement of whether a court has upheld the use of each statute. If so, then cite
example.
                                      None

V. FOIA/PA Access Requests. Include all access requests, whether first-party or third-party.

> **7,046. Please note that this figure does not include the 9.7 million pages released through our Website (**http://www.occ.treas.gov**) as a result of 12.8 million hits..**

A. Number of initial requests. Total of the numbers in lines 1 and 2, minus the number in line 3, should equal the number in line 4. (This is not the case….the items in item 1 were completed during the year. The 7 cases pending at the end of the year are different.)

   1. Number of requests pending as of end of preceding fiscal year   **3**

   2. Number of requests received during current fiscal year: **7,046**

   3. Number of requests processed during current fiscal year: **7,039**

   4. Number of requests pending as of end of current fiscal year: **7**
      (Enter this number also in line VII. B. 1.)

B. Disposition of initial requests.
   1. Total grants: **6,669**
   2. Partial grants: **27**
   3. Denials: **25**

     a. Number of times each FOIA exemption used (counting each exemption once per request):

(b)(1)	**0**	(b)(6)	**21**	(b)(7)(E)	**0**
(b)(2)	**1**	(b)(7)(A)	**0**	(b)(7)(F)	**0**
(b)(3)	**0**	(b)(7)(B)	**3**	(b)(8)	**38**
(b)(4)	**18**	(b)(7)(C)	**10**	(b)(9)	**0**
(b)(5)	**25**	(b)(7)(D)	**0**		

    4. Other reasons for nondisclosure (total): **318**
       a. no records   **74**
       b. referrals   **48**
       c. withdrawn   **50**
       d. fee-related   **4**
       e. records not reasonably described   **9**

f. not a proper FOIA request for some other reason **1**

g. not an agency record **9**

h. duplicate request **1**

i. other (specify) ____

       handled by telephone/fax **110**

       closed w/o determination **11**

VI. Appeals of initial denials of FOIA/PA requests. Again, include all access requests, whether first-party or third-party.

  A. Number of appeals.

    1. Number of appeals received during fiscal year: **12**

    2. Number of appeals processed during fiscal year: **9**

  B. Disposition of appeals.

    1. Number completely upheld: **8**

    2. Number partially reversed: **1**

    3. Number completely reversed: **0**

      a. number of times each FOIA exemption used (counting each exemption once per appeal):

(b)(1) _____	**(b)(6)** __5__	**(b)(7)(E)** _____
(b)(2) _____	**(b)(7)(A)** __1__	**(b)(7)(F)** _____
(b)(3) _____	**(b)(7)(B)** _____	**(b)(8)** __4__
(b)(4) __1__	**(b)(7)(C)** __1__	**(b)(9)** _____
(b)(5) __2__	**(b)(7)(D)** __1__	

    4. Other reasons for non-disclosure (total): _____

      a. no records **3**

      b. referrals ____

      c. withdrawn ____

      d. fee-related ____

      e. records not reasonably described ____

      f. not a proper request/appeal for some other reason ____

      g. not an agency record ____

    h. duplicate request _____

    i. other (specify):

VII. Compliance with Time Limits/Status of Pending Requests.

  A. Median time for processing requests.

    Agencies should count days from the time at which a request is "perfected." You may separately report each track of a multi-track system, as well as an "expedited processing" track. Following are examples for obtaining the "median" number: Given 7 requests completed during the fiscal year, aged 10, 25, 35, 65, 75, 80 and 400 days from date of perfection to date of completion, the total number of requests completed during the fiscal year would be 7 and the median age of the completed requests would be 65 days. If there were 6 pending cases aged 10, 20, 30, 50, 120 and 200 days from date of perfection to date of completion, the total number of requests completed would be 6 and the median age would be 40 days (the average of the 2 middle numbers).

    1. Simple Requests (report only if multi-track processing is used. If not used, report as "N/A").

      a. number of requests processed: n/a

      b. median number of days to process: n/a

    2. Complex Requests (specify for any and all tracks used).

      a. number of requests processed: **7,039**

      b. median number of days to process: **20.1 Calendar Days**

    3. Requests accorded expedited processing.

      a. number of requests processed: _____

      b. median number of days to process: _____

  B. Status of pending requests (bureaus using multiple tracks may provide number for each track, as well as total).

    1. Number of requests pending at end of FY 2001: **7**
      (Enter this number from line V.A.4.)

    2. Median number of days that such requests were pending as of that date:

        • **114.2 Calendar Days** (These were requests for extensive consumer complaint data handled through our Houston Office.)

VIII.   Comparisons with Previous Years (Not required).

IX. Costs/FOIA Staffing.

    A. Staffing levels.

        1. Number of full time FOIA personnel**:   6**

        2. Number of personnel with part-time or occasional FOIA duties
           (in total work-years): ** 2 **

        3.   Total number of personnel (in work-years): ** 8 **

    B. Total costs (staff and resources combined).

        1. FOIA processing (including appeals): $ 556,641

        2. Litigation-related activities (estimated): $ n/a

        3. Total Costs: $ 556,641

        4.   Comparison with previous years. (Not required).

    C. Statement of additional resources needed for FOIA compliance. (If no statement needed,
    enter "N/A") n/a

X.   Fees.

    A. Total fees collected FY 2001: **$233,951**

    B.   Percentage of total costs: **42%**

 XI.   FOIA Regulations. (Not for bureaus.)